

**Consultancy- Support IGAD Climate Prediction and Applications Centre in Establishing an IGAD Emergency Grain Reserve**

REQUEST FOR PROPOSAL–RFP/ICPAC/01/2024

1. **Services to be provided**

The services required by the contracting authority are described in the terms of reference. They are set out in Annex II to the draft contract, which forms Part B of this tender dossier.

1. **Timetable**

|  |  |  |
| --- | --- | --- |
|  | **DATE** |  |
| **Deadline for submitting tenders** | 23 May 2024 |  |
| **Completion date for evaluating technical offers** | 30 May 2024 |  |
| **Notification of award** | 7 June 2024 |  |
| **Contract signature** | 14 June 2024 |  |
| **Start date** | 14 June 2024 |  |

**\* All times are in the time zone of the country of the contracting authority (Kenya, Nairobi)****Provisional dates**

1. **Participation, experts, and subcontracting**
2. Participation in this tender procedure is open only to the invited tenderers. For the eligibility, please see point 10 of the contract notice.
3. The contract between the tenderer/contractor and its experts shall contain a provision that it is subject to the approval of the partner country. It is furthermore recommended that this contract contains a dispute resolution clause.
4. Subcontracting is Not Allowed.
5. **Content of tenders**

## Offers, all correspondence and documents related to the tender exchanged by the tenderer and the contracting authority must be written in English.

The tender must include a technical offer in line with what is specified in the Terms of Reference (TOR)

**4.1. Technical offer**

The technical offer must include the following documents:

1. **Tender submission form** (see Part D of this tender dossier) including:

* The key experts proposed in this tender must not be part of any other tender submitted for this tender procedure. They must therefore commit themselves exclusively to the tenderer.
* Each key expert must also undertake to be available, able, and willing to work for the whole period scheduled for his/her input to implement the tasks set out in the terms of reference and/or in the organisation and methodology.

1. A signed **declaration** together with a signed "Declaration on honour on exclusion criteria and selection criteria"[[1]](#footnote-1) from each legal entity identified in the tender submission form and from each sub-contractor and/or capacity providing entity, using the format attached to the tender submission form.
2. **Organisation and methodology** (will become Annex III to the contract), to be drawn up by the tenderer. (**If required according to the TOR)**

For fee-based contracts:The ‘Estimated number of working days’ worksheet must be included in the organisation and methodology.]

1. a list of the name of the key expert.
2. the CVs of each of the key expert - The qualifications and experience of each key expert must clearly match the profiles indicated in the terms of reference. If an expert does not meet the minimum requirements for each evaluation criterion (i.e., qualification and skills, general professional experience, and specific professional experience), he/she must be rejected. In such case the entire tender shall be rejected.
3. Note that non-key experts must not be asked to sign statements of exclusivity and availability.

Tenderers must provide the following documents for the proposed experts:

- a copy of the diplomas mentioned in their CVs

1. **Variant solutions**

Tenderers are not authorised to tender for a variant in addition to this tender.

1. **Period during which tenders are binding**

Tenderers are bound by their tenders for 90 days after the deadline for submitting tenders or until they have been notified of non-award.

The selected tenderer must maintain its tender for a further 60 days. A further period of 60 days is added to the validity period irrespective of the date of notification. This period can be further extended when the contracting authority is required to obtain the recommendation of the panel referred to in Section 2.6.10.1.1 of the practical guide, up to the adoption of that recommendation.

1. **Additional information before the deadline for submitting tenders**

The tender dossier should be clear enough to avoid tenderers having to request additional information during the procedure. If the contracting authority, either on its own initiative or in response to a request from a tenderer, provides additional information on the tender dossier, it must send such information in writing to all the tenderers at the same time.

Tenderers may submit questions in writing to the following address up to 7 days before the deadline for submission of tenders, specifying the publication reference and the contract title:

**Contact name: ICPAC PROCUREMENT  
E-mail:** [**Procurement@icpac.net**](mailto:Procurement@icpac.net)

The contracting authority has no obligation to provide clarification after this date.

Any tenderer seeking to arrange individual meetings with the contracting authority and/or the government of the partner country and/or the European Commission concerning this contract during the tender period may be excluded from the tender procedure.

No information meeting or site visit is foreseen.

1. **Submission of tenders**

Tenders must be sent to the contracting authority **before/on**: **23 May 2024** via email to the following Address: [Procurement@icpac.net](mailto:Procurement@icpac.net)

**Tenders submitted by any other means will not be considered.**

The submission email should have the reference:

**CONSULTANCY- SUPPORT IGAD CLIMATE PREDICTION AND APPLICATION CENTRE IN ESTABLISHING AN IGAD EMERGENCY GRAIN RESERVE**

REQUEST FOR PROPOSAL–RFP/ICPAC/01/2024

**FINANCIAL PROPOSAL SHOULD BE PASSWORD PROTECTED.**

1. **Amending or withdrawing tenders**

Tenderers may amend or withdraw their tenders by written notification prior to the deadline for submitting tenders. Tenders may not be amended after this deadline.

Any such notification of amendment or withdrawal must be prepared and submitted in accordance with Clause 8. The outer envelope (and the relevant inner envelope) must be marked ‘Amendment’ or ‘Withdrawal’ as appropriate.

1. **Costs for preparing tenders**

No costs incurred by the tenderer in preparing and submitting the tender are reimbursable. All such costs must be borne by the tenderer, including the cost of interviewing proposed experts.

1. **Ownership of tenders**

The contracting authority retains ownership of all tenders received under this tendering procedure. Consequently, tenderers do not have the right to have their tenders returned to them.

1. **Evaluation of tenders**

**12.1. Evaluation of technical offers**

The quality of each technical offer will be evaluated in accordance with the award criteria. The award criteria will be examined in accordance with the requirements indicated in the terms of reference.

**12.1.1. Interviews**

The evaluation committee may interview the key experts proposed in the technically compliant tenders, after having written provisional conclusions but before concluding the technical evaluation.

The interview shall be conducted by telephone, face to face or video conferencing. and the date and time of these interviews will be confirmed or notified to the tenderer at least five days in advance. If a tenderer is unable to participate in an interview by force majeure, a mutually convenient alternative date and time is arranged with the tenderer. If the tenderer is unable to participate in this second scheduled time, its tender will be eliminated from the evaluation process.

**12.3. Choice of selected tenderer**

Consultants who score above 70/100 is considered for interview.

**12.4. Confidentiality**

The entire evaluation procedure is confidential, subject to the contracting authority’s legislation on access to documents. The evaluation committee’s decisions are collective, and its deliberations are held in closed session. The members of the evaluation committee are bound to secrecy. The evaluation reports and written records are for official use only and may be communicated neither to the tenderers nor to any party other than the contracting authority, the European Commission, the European Anti-Fraud Office, and the European Court of Auditors.

1. **Ethics clauses / Corruptive practices**

a) Absence of conflict of interest

The tenderer must not be affected by any conflict of interest and must have no equivalent relation in that respect with other tenderers or parties involved in the project. Any attempt by a tenderer to obtain confidential information, enter into unlawful agreements with competitors or influence the evaluation committee or the contracting authority during the process of examining, clarifying, evaluating, and comparing tenders will lead to the rejection of its tender and may result in administrative penalties according to the Financial Regulation in force.

b) Respect for human rights as well as environmental legislation and core labour standards

The tenderer and its staff must comply with human rights and applicable data protection rules. In particular, and in accordance with the applicable basic act, tenderers and applicants who have been awarded contracts must comply with the environmental legislation including multilateral environmental agreements, and with the core labour standards as applicable and as defined in the relevant International Labour Organisation conventions (such as the conventions on freedom of association and collective bargaining; elimination of forced and compulsory labour; abolition of child labour).

**Zero tolerance for sexual exploitation, abuse, and harassment:**

The European Commission applies a policy of 'zero tolerance' in relation to all wrongful conduct which has an impact on the professional credibility of the tenderer.

Physical abuse or punishment, or threats of physical abuse, sexual abuse or exploitation, harassment and verbal abuse, as well as other forms of intimidation shall be prohibited.

c) Anti-corruption and anti-bribery

The tenderer shall comply with all applicable laws and regulations and codes relating to anti-bribery and anti-corruption. The European Commission reserves the right to suspend or cancel project financing if corrupt practices of any kind are discovered at any stage of the award process or during the execution of a contract and if the contracting authority fails to take all appropriate measures to remedy the situation. For the purposes of this provision, ‘corrupt practices’ are the offer of a bribe, gift, gratuity, or commission to any person as an inducement or reward for performing or refraining from any act relating to the award of a contract or execution of a contract already concluded with the contracting authority.

d) Unusual commercial expenses

Tenders will be rejected, or contracts terminated if it emerges that the award or execution of a contract has given rise to unusual commercial expenses. Such unusual commercial expenses are commissions not mentioned in the main contract or not stemming from a properly concluded contract referring to the main contract, commissions not paid in return for any actual and legitimate service, commissions remitted to a tax haven, commissions paid to a payee who is not clearly identified, or commissions paid to a company which has every appearance of being a front company.

Contractors found to have paid unusual commercial expenses on projects funded by the European Union are liable, depending on the seriousness of the facts observed, to have their contracts terminated or to be permanently excluded from receiving EU funds.

e) Breach of obligations, irregularities, or fraud

The contracting authority reserves the right to suspend or cancel the procedure, where the award procedure proves to have been subject to breach of obligations, irregularities, or fraud. If breach of obligations, irregularities or fraud are discovered after the award of the contract, the contracting authority may refrain from concluding the contract.

1. **Signature of contract(s)**

**14.1. Notification of award**

The successful tenderer will be informed in writing that its tender has been accepted.

The successful tenderer shall then confirm availability or unavailability within 5 days from the date of the notification of award.

The evaluation Committee will move to the second-best consultant in the case the best technically evaluated consultant is unavailable.

**14.2. Signature of the contract(s)**

Within 30 days of receipt of the contract already signed by the contracting authority, the selected tenderer shall sign and date the contract and return it to the contracting authority.

Failure of the selected tenderer to comply with this requirement may constitute grounds for annulling the decision to award the contract. In this event, the contracting authority may award the tender to another tenderer or cancel the tender procedure.

The other tenderers will, at the same time as the notification of award is submitted, be informed that their tenders were not retained, by electronic means or standard letter, including an indication of the relative weaknesses of their tender by way of a comparative table of the scores for the winning tender and the unsuccessful tender. The second-best tenderer is informed of the notification of award to the successful tenderer with the reservation of the possibility to receive a notification of award in case of inability to sign the contract with the first ranked tenderer. The validity of the offer of the second-best tenderer will be kept. The second tenderer may refuse the award of the contract if, when receiving a notification of award, the 90 days of validity of their tender has expired.

The contracting authority will furthermore, at the same time, also inform the remaining unsuccessful tenderers and the consequence of these letters will be that the validity of their offers must not be retained.

1. **Cancellation of the tender procedure**

In the event of cancellation of the tender procedure, the contracting authority will notify tenderers of the cancellation. If the tender procedure is cancelled before the outer envelope of any tender has been opened, the unopened and sealed envelopes will be returned to the tenderers.

Cancellation may occur, for example, where:

* the tender procedure has been unsuccessful, i.e., no suitable, qualitatively, or financially acceptable tender has been received or there is no valid response at all.
* there are fundamental changes to the economic or technical data of the project.
* exceptional circumstances or force majeure render normal performance of the contract impossible.
* all technically acceptable tenders exceed the financial resources available.
* there has been breach of obligations, irregularities, or frauds in the procedure, in particular if they have prevented fair competition.
* the award is not in compliance with sound financial management, i.e., does not respect the principles of economy, efficiency, and effectiveness (e.g., the price proposed by the tenderer to whom the contract is to be awarded is objectively disproportionate with regard to the price of the market).

In no event shall the contracting authority be liable for any damages whatsoever including, without limitation, damages for loss of profits, in any way connected with the cancellation of a tender procedure, even if the contracting authority has been advised of the possibility of damages. The publication of a contract notice does not commit the contracting authority to implement the programme or project announced.

1. **Appeals**

Tenderers believing that they have been harmed by an error or irregularity during the award process may file a complaint. See Section 2.12. of the practical guide.

**18. Early detection and exclusion system**

The tenderers and, if they are legal entities, persons who have powers of representation, decision-making or control over them, are informed that, should they be in one of the situations of early detection or exclusion, their personal details (name, given name if natural person, address, legal form and name and given name of the persons with powers of representation, decision-making or control, if legal person) may be registered in the early detection and exclusion system,, and communicated to the persons and entities concerned in relation to the award or the execution of a procurement contract.

**TENDER SUBMISSION FORM**

**1 SUBMITTED by (i.e., the identity of the tenderer)**

|  |  |  |
| --- | --- | --- |
|  | **Name(s) of legal entity or entities making this application** | **Nationality[[2]](#endnote-1)** |
| **Leader[[3]](#endnote-2)** |  |  |

**2 CONTACT PERSON (for this tender)**

|  |  |
| --- | --- |
| **Name** |  |
| **Address** |  |
| **Telephone** |  |
| **Fax** |  |
| **e-mail** |  |

**5 AREAS OF SPECIALISATION**

Please fill in the table below to indicate any areas of specialist knowledge related to this contract for each legal entity making this tender. State the type of area of specialisation as the row heading and use the name of the legal entity as the column headings. Indicate the areas of specialist knowledge each legal entity has by placing a tick (✓) in the box corresponding to the specialisation in which it has significant experience.

|  |  |
| --- | --- |
|  | Consultant |
| Relevant specialisation 1 |  |

**6 EXPERIENCE**

summarise the main projects related to this contract carried out over the past 3 years[[4]](#endnote-3) by the legal entity or entities making this tender. The number of references to be provided must not exceed 5 for the entire tender.

**8 STATEMENT**

I, the undersigned, being the authorised signatory of the above tenderer, hereby declare that we have examined and accept without reserve or restriction the entire contents of the tender dossier for the tender procedure referred to above. We offer to provide the services requested in the tender dossier on the basis of the following documents, which comprise our technical offer, and our financial offer, which is submitted in a separate, sealed envelope:

* Key experts - (comprising a list of the key experts and their CVs), if required
* Statements of exclusivity and availability signed by each of the key experts, if required

This tender is subject to acceptance within the validity period stipulated in clause 6 of the instructions to tenderers.

We understand that our tender may be rejected if we propose key experts who have been involved in preparing this project or employ them as advisers in the preparation of our tender. We also understand that this may mean exclusion from other tender procedures and contracts funded by the EU/EDF.

We understand that entities upon whose capacity we rely with regard to economic and financial criteria, become jointly and severally liable for the performance of the contract.

Signed on behalf of the tenderer

|  |  |
| --- | --- |
| **Name** |  |
| **Signature** |  |
| **Date** |  |

**FORMAT FOR THE DECLARATION REFERRED TO IN POINT 7  
OF THE TENDER SUBMISSION FORM**

IGAD CLIMATE PREDICTION AND APPLICATIONS CENTRE

**TENDERER’S DECLARATION**

Dear Sir/Madam

In response to your letter of invitation for the above contract we, >, hereby declare that we:

1. are submitting this tender [ /on an individual basis]\* \* for this contract. We confirm that we are not participating in any other tender for the same contract in any form (as a member, leader, in a consortium or as an individual candidate);
2. agree to abide by the ethics clauses in Section 13 of the instructions to tenderers, have not been involved in the preparation of the project which is the subject of this tender procedure unless it is proved that the involvement in previous stages of the project does not constitute unfair competition, and have no professional conflicting interests and/or any relation with other tenderers or other parties in the tender procedure or behaviour which may distort competition at the time of submission of this tender according to Section 2.5.4. of the practical guide.
3. will inform the contracting authority immediately if there is any change in the above circumstances at any stage during the implementation of the tasks.
4. fully recognise and accept that if the above-mentioned persons participate in spite of being in any of the situations listed in Section 2.6.10.1.1. of the practical guide or if the declarations or information provided prove to be false they may be subject to rejection from this procedure and to administrative sanctions in the form of exclusion and financial penalties up to 10 % of the total estimated value of the contract being awarded and that this information may be published on the Commission website in accordance with the Financial Regulation in force;
5. are aware that, for the purposes of safeguarding the EU’s financial interests, our personal data may be transferred to internal audit services, to the European Court of Auditors, to the Financial Irregularities Panel or to the European Anti-Fraud Office.

We understand that our tender and the expert may be excluded if we propose the same key expert as another tenderer or if we propose a key expert who is engaged in an EU/EDF financed project if the input from his/her position in that contract could be required on the same dates as his/her work under this contract.

We understand that if we fail to respond within the delay after receiving the notification of award, or if the information provided is proved false, the award may be considered null and void.

**DECLARATION ON HONOUR ON EXCLUSION AND SELECTION CRITERIA**

**Declaration on honour on  
exclusion criteria and selection criteria**

The undersigned [*insert name of the signatory of this form*], representing:

|  |  |
| --- | --- |
| (*only for natural persons*) himself or herself | (*only for legal persons*) the following legal person: |
| ID or passport number:  (‘the person’) | Full official name:  Official legal form:  Statutory registration number**:**  Full official address:  VAT registration number:  (‘the person’) |

The person is not required to submit the declaration on exclusion criteria if the same declaration has already been submitted for the purposes of another award procedure of the same contracting authority, provided the situation has not changed, and that the time that has elapsed since the issuing date of the declaration does not exceed one year.

In this case, the signatory declares that the person has already provided the same declaration on exclusion criteria for a previous procedure and confirms that there has been no change in its situation:

|  |  |
| --- | --- |
| **Date of the declaration** | **Full reference to previous procedure** |
|  |  |

**I – Situations of exclusion concerning the person**

|  |  |  |
| --- | --- | --- |
| 1. declares that the above-mentioned person is in one of the following situations: | YES | NO |
| 1. it is bankrupt, subject to insolvency or winding-up procedures, its assets are being administered by a liquidator or by a court, it is in an arrangement with creditors, its business activities are suspended or it is in any analogous situation arising from a similar procedure provided for under Union or national legislation or regulations; |  |  |
| 1. it has been established by a final judgement or a final administrative decision that the person is in breach of its obligations relating to the payment of taxes or social security contributions in accordance with the applicable law; |  |  |
| 1. it has been established by a final judgement or a final administrative decision that the person is guilty of grave professional misconduct by having violated applicable laws or regulations or ethical standards of the profession to which the person belongs, or by having engaged in any wrongful conduct which has an impact on its professional credibity where such conduct denotes wrongful intent or gross negligence, including, in particular, any of the following: |  | |
| (i) fraudulently or negligently misrepresenting information required for the verification of the absence of grounds for exclusion or the fulfilment of eligibility or selection criteria or in the performance of a contract or an agreement; |  |  |
| (ii) entering into agreement with other persons with the aim of distorting competition; |  |  |
| (iii) violating intellectual property rights; |  |  |
| (iv) attempting to influence the decision-making process of the contracting authority during the award procedure; |  |  |
| (v) attempting to obtain confidential information that may confer upon it undue advantages in the award procedure***;*** |  |  |
| 1. it has been established by a final judgement that the person is guilty of any of the following: |  | |
| (i) fraud, within the meaning of Article 3 of Directive (EU) 2017/1371 and Article 1 of the Convention on the protection of the European Communities' financial interests, drawn up by the Council Act of 26 July 1995; |  |  |
| (ii) corruption, as defined in Article 4(2) of Directive (EU) 2017/1371 or active corruption within the meaning of Article 3 of the Convention on the fight against corruption involving officials of the European Communities or officials of Member States of the European Union, drawn up by the Council Act of 26 May 1997, or conduct referred to in Article 2(1) of Council Framework Decision 2003/568/JHA, as well as corruption as defined in other applicable laws; |  |  |
| (iii) conduct related to a criminal organisation, as referred to in Article 2 of Council Framework Decision 2008/841/JHA; |  |  |
| (iv) money laundering or terrorist financing, within the meaning of Article 1(3), (4) and (5) of Directive (EU) 2015/849 of the European Parliament and of the Council; |  |  |
| (v) terrorist offences or offences linked to terrorist activities, as defined in Articles 1 and 3 of Council Framework Decision 2002/475/JHA, respectively, or inciting, aiding, abetting or attempting to commit such offences, as referred to in Article 4 of that Decision; |  |  |
| (vi) child labour or other offences concerning trafficking in human beings as referred to in Article 2 of Directive 2011/36/EU of the European Parliament and of the Council; |  |  |
| 1. it has shown significant deficiencies in complying with the main obligations in the performance of a contract or an agreement financed by the Union’s budget, which has led to its early termination or to the application of liquidated damages or other contractual penalties, or which has been discovered following checks, audits or investigations by a contracting authority, the European Anti-Fraud Office (OLAF) or the Court of Auditors; |  |  |
| 1. it has been established by a final judgment or final administrative decision that the person has committed an irregularity within the meaning of Article 1(2) of Council Regulation (EC, Euratom) No 2988/95; |  |  |
| 1. it has been established by a final judgment or final administrative decision that the person has created an entity under a different jurisdiction with the intent to circumvent fiscal, social or any other legal obligations in the jurisdiction of its registered office, central administration or principal place of business. |  |  |
| 1. (*only for legal persons*) it has been established by a final judgment or final administrative decision that the person has been created with the intent provided for in point (g). |  |  |
| 1. for the situations referred to in points (c) to (h) above the person is subject to: 2. facts established in the context of audits or investigations carried out by the European Public Prosecutor's Office after its establishment, the Court of Auditors, the European Anti-Fraud Office (OLAF) or the internal auditor, or any other check, audit or control performed under the responsibility of an authorising officer of an EU institution, of a European office or of an EU agency or body. 3. non-final judgments or non-final administrative decisions which may include disciplinary measures taken by the competent supervisory body responsible for the verification of the application of standards of professional ethics. 4. facts referred to in decisions of entities or persons being entrusted with EU budget implementation tasks. 5. information transmitted by Member States implementing Union funds. 6. decisions of the Commission relating to the infringement of Union competition law or of a national competent authority relating to the infringement of Union or national competition law; or 7. decisions of exclusion by an authorising officer of an EU institution, of a European office or of an EU agency or body. |  |  |

**II – Situations of exclusion concerning natural or legal persons assuming unlimited liability for the debts of the legal person**

|  |  |  |  |
| --- | --- | --- | --- |
| 1. declares that a natural or legal person that assumes unlimited liability for the debts of the above-mentioned legal person is in one of the following situations [***If yes, please indicate in annex to this declaration which situation and the name(s) of the concerned person(s) with a brief explanation***]: | YES | NO | N/A |
| Situation (a) above (bankruptcy) |  |  |  |
| Situation (b) above (breach in payment of taxes or social security contributions) |  |  |  |

**IV – Grounds for rejection from this procedure**

|  |  |  |
| --- | --- | --- |
| 1. declares that the above-mentioned person: | YES | NO |
| Was previously involved in the preparation of the procurement documents used in this award procedure, where this entailed a breach of the principle of equality of treatment including distortion of competition that cannot be remedied otherwise. |  |  |

**V – Remedial measures**

If the person declares one of the situations of exclusion listed above, it must indicate measures it has taken to remedy the exclusion situation, thus demonstrating its reliability. This may include e.g., technical, organisational and personnel measures to prevent further occurrence, compensation of damage or payment of fines or of any taxes or social security contributions. The relevant documentary evidence which illustrates the remedial measures taken must be provided in annex to this declaration. This does not apply for situations referred in point (d) of this declaration.

**VI – Evidence upon request**

Upon request and within the time limit set by the contracting authority the person must provide information on natural or legal persons that are members of the administrative, management or supervisory body or that have powers of representation, decision or control, including legal and natural persons within the ownership and control structure and beneficial owners.

It must also provide the following evidence concerning the person itself and the natural or legal persons on whose capacity the person intends to rely, or a subcontractor and concerning the natural or legal persons which assume unlimited liability for the debts of the person:

For situations described in (a), (c), (d), (f), (g) and (h), production of a recent extract from the judicial record is required or, failing that, an equivalent document recently issued by a judicial or administrative authority in the country of establishment of the person showing that those requirements are satisfied.

For the situation described in point (b), production of recent certificates issued by the competent authorities of the State concerned are required. These documents must provide evidence covering all taxes and social security contributions for which the person is liable, including for example, VAT, income tax (natural persons only), company tax (legal persons only) and social security contributions. Where any document described above is not issued in the country concerned, it may be replaced by a sworn statement made before a judicial authority or notary or, failing that, a solemn statement made before an administrative authority or a qualified professional body in its country of establishment.

The person is not required to submit the evidence if it has already been submitted for another award procedure of the same contracting authority. The documents must have been issued no more than one year before the date of their request by the contracting authority and must still be valid at that date.

The signatory declares that the person has already provided the documentary evidence for a previous procedure and confirms that there has been no change in its situation:

|  |  |
| --- | --- |
| **Document** | **Full reference to previous procedure** |
| *Insert as many lines as necessary.* |  |

**VII – Selection criteria**

|  |  |  |  |
| --- | --- | --- | --- |
| 1. declares that the above-mentioned person complies with the selection criteria applicable to it individually as provided in the tender documents: | YES | NO | N/A |
| 1. It has the legal and regulatory capacity to pursue the professional activity needed for performing the contract as required in section [*insert*] of the contract notice/Instructions to tenderers; |  |  |  |
| 1. It fulfills the applicable economic and financial criteria indicated in section [*insert*] of the contract notice/Instructions to tenderers; |  |  |  |
| 1. It fulfills the applicable technical and professional criteria indicated in section [*insert*] of the contract notice/Instructions to tenderers. |  |  |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 1. if the above-mentioned person is the **sole tenderer** or the **leader in case of consortium**, declares that: | YES | NO | N/A | |
| 1. the tenderer, including all members of the group in case of consortium and including subcontractors if applicable, complies with all the selection criteria for which a consolidated asseessment will be made as provided in the tender documents. |  |  | |  |

**VIII – Evidence for selection**

The signatory declares that the above-mentioned person is able to provide the necessary supporting documents listed in the relevant sections of the tender documents and which are not available electronically upon request and without delay.

The person is not required to submit the evidence if it has already been submitted for another procurement procedure of the same contracting authority. The documents must have been issued no more than one year before the date of their request by the contracting authority and must still be valid at that date.

The signatory declares that the person has already provided the documentary evidence for a previous procedure and confirms that there has been no change in its situation:

|  |  |
| --- | --- |
| **Document** | **Full reference to previous procedure** |
| *Insert as many lines as necessary.* |  |

***The above-mentioned person must immediately inform the contracting authority of any changes in the situations as declared.***

***The above-mentioned person may be subject to rejection from this procedure and to administrative sanctions (exclusion or financial penalty) if any of the declarations or information provided as a condition for participating in this procedure prove to be false.***

Full name Date Signature

**Statement of exclusivity and availability[[5]](#endnote-4)  
  
Publication ref:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

I, the undersigned, hereby declare that I agree to participate exclusively with the tenderer < tenderer name > in the above-mentioned service tender procedure. This includes that I will not be proposed as a replacement expert in this tender procedure. I declare that I am able and willing to work for the period(s) set for the position for which my CV has been included if this tender is successful, namely:

|  |  |  |
| --- | --- | --- |
| **From** | **To** | **Availability** |
|  |  |  |
|  |  |  |
|  |  |  |

I confirm that I do not have a confirmed engagement[[6]](#endnote-5) as key expert in another EU/EDF-funded project, or any other professional activity, incompatible in terms of capacity and timing with the above engagements.

By making this declaration, I understand that I am not allowed to offer my services as an expert to any other tenderer participating in this tender procedure. I am fully aware that if I do so, I will be excluded from this tender procedure, the tenders will be rejected, and I may also be subject to exclusion from other tender procedures and contracts funded by the EU/EDF.

I also declare that I am not in a situation of conflict of interest or unavailability and commit to inform the tenderer(s) of any change in my situation.

I acknowledge that I have no contractual relations with the contracting authority and in case of dispute concerning my contract with the contractor I shall address myself to the latter and/or to the competent jurisdictions.

[For information, I have signed a statement of exclusivity and availability for the following tender(s):

|  |  |  |
| --- | --- | --- |
| **Tender reference** | **Submission deadline for the tender** | **Tendered engagement**  **(Fulltime/Part time)** |
|  |  |  |

Should I receive a confirmed engagement I declare that I will accept the first engagement offered to me chronologically. Furthermore I will notify the tenderer immediately of my unavailability.

|  |  |
| --- | --- |
| **Name** |  |
| **Signature** |  |
| **Date** |  |

**ADMINISTRATIVE COMPLIANCE**

|  |  |  |  |
| --- | --- | --- | --- |
| **Contract title:** |  | **Reference:** |  |

| Tender number | Tenderer's name (Leader) (Nationality) | Other members of the consortium if any  Name (Nationality) | Within deadline? | Tender submission form duly completed and only 1 tender per tenderer? | Eligible nationality (all parties including subcontractors if known)? | Tenderer's declaration (signed by each consortium member, if appropriate)? | Language as required? | Professional capacity? (OK/a/b/…) | Technical capacity? (OK/a/b/…) | Organisation & methodology exists? | Key experts (list + CVs)(For contracts requiring key experts)? | Key experts are present in only one tender as key experts(For contracts requiring key experts)? | All key experts have signed statements of exclusivity & availability(For contracts requiring key experts)? | Documentary evidence for the references[[7]](#footnote-2) (If requested at this stage of the procedure) | Documentary evidence for the exclusion criteria (If requested at this stage of the procedure) | Overall decision? (Accept / Reject) |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

|  |  |
| --- | --- |
| **Chairperson's name** |  |
| **Chairperson's signature** |  |
| **Date** |  |

1. See PRAG 2.6.10.1.3 A) [↑](#footnote-ref-1)
2. **DRAFT CONTRACT**

   **No** <Contract number>

   **IGAD Climate Prediction and Applications Centre,**

   of the one part,

   and

   <Full official name of the contractor>

   [<Legal status/title>]

   [<Official registration number>]

   <Full official address>

   [<VAT number>],

   (‘the contractor’)

   of the other part,

   have agreed as follows:

   **CONSULTANCY- SUPPORT IGAD CLIMATE PREDICTION AND APPLICATION CENTRE IN ESTABLISHING AN IGAD EMERGENCY GRAIN RESERVE**

   REQUEST FOR PROPOSAL–RFP/ICPAC/01/2024

   **(1) Subject**

   1.1 The subject of this contract is <contract title> done [at] [in] <location> with identification number < reference> (‘the services’).

   1.2 The contractor shall execute the tasks assigned to him in accordance with the terms of reference annexed to the contract (Annexe II)

   **(2) Contract value**

   [Option 1: Fee-based contracts (technical assistance contracts)

   This contract, established in [Euro] [<national currency> only for indirect management], is a fee-based contract. Based on the maximum fees, [lump sum], incidental expenditure and provision made for expenditure verification set out in Annex V, the maximum contract value is [EUR] [<ISO code of national currency> only for indirect management] <amount>.]

   **(3) Order of precedence of contract documents**

   The following documents shall be deemed to form and be read and construed as part of this contract, in the following order of precedence:

   * the contract agreement.
   * the special conditions
   * the general conditions (Annex I).
   * the terms of reference [including clarification before the deadline for submitting tenders and minutes of the information meeting/site visit] (Annex II)
   * the organisation and methodology [including clarification from the tenderer provided during tender evaluation] (Annex III).
   * [Key experts (Annex IV) For contracts requiring key experts].
   * Budget [For fee-based contracts only: breakdown] (Annex V).
   * Other relevant forms and documents (Annex VI).

   [For fee-based contracts only:

   * Report of factual findings and terms of reference for an expenditure verification] (Annex VII):

   **These above listed documents make up the contract. They shall be deemed to be mutually explanatory. In cases of ambiguity or divergence, they shall prevail in the order in which they appear above. Addenda shall have the order of precedence of the document they are amending.**

   **(4) Language of the contract**

   The language of the contract and of all written communications between the contractor and the contracting authority and/or the project manager shall be English.

   **(5) Other specific conditions applying to the contract**

   The following conditions to the contract shall apply:

   [For indirect management insert the following

   For the purpose of Article 42 of the general conditions, for the part of the data transferred by the contracting authority to the European Commission:

   (a) the controller for the processing of personal data carried out within the Commission is

   [For DG DEVCO the head of legal affairs unit of DG International Cooperation and Development.]

   [For DG NEAR the head of contracts and finance unit R4 of DG Neighbourhood and Enlargement Negotiations]

   [For any other DG <please add the function of your controller >.]

   (b) the data protection notice is available at <http://ec.europa.eu/europeaid/prag/annexes.do?chapterTitleCode=A>. ]

   [If necessary and after having obtained prior approval/derogation by the competent services:

   By derogation from Article ...]

   Done in English in [two] [three] originals, [For direct management*:* [one] [two] originals for the European Commission] [ For indirect management:one original for the contracting authority, one original for the European Commission,] and one original for the contractor.

   |  |  |  |  |
   | --- | --- | --- | --- |
   | **For the contractor** | | **For the contracting authority** | |
   | Name: |  | Name: |  |
   | Title: |  | Title: |  |
   | Signature: |  | Signature: |  |
   | Date: |  | Date: |  |

   |  |  |
   | --- | --- |
   |  | |
   |  |  |
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   |  |  |

   **SPECIAL CONDITIONS**

   These conditions amplify and supplement the general conditions governing the contract. Unless the special conditions provide otherwise, the general conditions remain fully applicable. The numbering of the articles of the special conditions is not consecutive but follows the numbering of the general conditions. Exceptionally, and with the approval of the competent European Commission departments, other clauses can be indicated to cover particular situations.

   2.1 <Indicate here the contact persons, addresses of the parties, their other contact details, the documents to provide and the procedure to be used by the parties for communication.>

   2.2 An electronic system will be used by the contracting authority and the contractor for all stages of implementation including, inter alia, management of the contract (amendments and administrative orders), reporting (including reporting on results) and payments. The contractor will be required to register in and use the appropriate electronic exchange system to allow for the e-management of the contract. With regard to interim and final reports, if they are required according to Article 26 or to the terms of reference, the contractor will be expected to use the forms in the electronic system for encoding and submitting the reports.

   The electronic management of the contract through the aforementioned system may commence on the date on which implementation of the contract starts, as described in Article 19 below, or at a later date. In the latter case, the contracting authority will inform the contractor in writing that he will be required to use the electronic system for all communications within a maximum period of 3 months.

   **Article 4 Subcontracting**

   4.9 N/A

   **Article 7 General obligations**

   7.8 <Specify the specific activities to be put in place by the contractor to comply with its minimum obligation towards visibility. These activities must comply with the rules lay down in the Communication and Visibility Manual for EU External Actions published by the European Commission.>

   **Article 12 - Liabilities**

   12.2 <Specify here the specific requirements of liability for damages to the contracting authority>

   [For contracts of amount below one million EUR: in some cases, capping contractors’ liability to one million EUR may be disproportional compared to the content of this contract. This is especially the case where the financial risk is low, for instance for technical assistance or studies. If you find it necessary to set a cap lower than that referred to in the general conditions, add the following clause:

   ‘By way of derogation from Article 12.2, paragraph 2, of the general conditions, compensation for damage resulting from the contractor's liability in respect of the contracting authority is capped at an amount equal to <complete with an amount between the contract value and one million EUR>.’]

   **Article 19 Implementation of the tasks and delays**

   19.1[The start date for implementation shall be <date/date of signature of the contract by both parties>]

   OR:

   [The date on which implementation starts shall be within 3 months of the signature of this contract by both parties and shall be set in an administrative notice issued by the project manager.]

   19.2 The period for implementing the tasks is <number> months from the start date.

   **Article 26 Interim and final reports**

   The contractor shall submit progress reports as specified in the terms of reference.

   **Article 27 Approval of reports and documents**

   27.5 [For contracts in decentralised/indirect management under the general budget of the Union for which a financing agreement has been signed before 01/01/2013 and for 10th-11th EDF:

   The contracting authority shall, within 45 days of receipt, notify the contractor of its decision concerning the documents or reports received by it, giving reasons should it reject the reports or documents, or request amendments. If the contracting authority does not give any comments on the documents or reports within the time limit, the contractor may request written acceptance of them. The documents or reports shall be deemed to have been approved by the contracting authority if it does not expressly inform the contractor of any comments within 45 days of the receipt of the report.]

   **Article 28 Expenditure verification**

   28.2 Fee-based contract

   [The expenditure verification(s) referred to in the general conditions will be carried out by < name, address, telephone, and fax numbers>.]

   [If the verification of the incidental expenditure will be made by the contracting authority insert the following instead of 28.2 above: By derogation from article 28 the verification will be made by the contracting authority and all references to an expenditure verification report will not be applicable.]

   **Article 29 Payment and interest on late payment**

   29.1 Payments will be made in accordance with the following the option:

   [Option 1: Fee-based contract

   The actual amounts payable after the pre-financing payment will vary. They will be based on the contractor’s invoice accompanied by an interim progress report and an expenditure verification report and are subject to approval of the reports in accordance with Article 27 of the general conditions.

   The interim invoices must be paid such that the sum of the payments does not exceed 90 % of the maximum contract value stated in Article 3 of the contract. Payment of the balance of the final value of the contract, subject to the maximum contract value stated in Article (2) of the contract, is made after deduction of the amounts already paid, within 60 days of the contracting authority receiving an invoice accompanied by the final progress report and a final expenditure verification report, the incidental expenditure and expenditure verification actually incurred during the period, subject to approval of those reports.]

   29.3 [For indirect management

   By derogation from Article 29.3 of the general conditions, once the deadline set in Article 29.1 has expired, the contractor will, upon demand, be entitled to late-payment interest at the rate and for the period mentioned in the general conditions submitted the demand must be submitted within two months of receiving late payment.]

   29.5 Payments will be made in [Euro] [<national currency> only for indirect management] in accordance with Articles 20.6 and 29.4 of the general conditions into the bank account notified by the contractor to the contracting authority.

   [Optional: In the case of indirect management with ex ante controls and where invoices are presented to the contracting authority, the contractor must inform the European Commission at <enter address of the unit in charge if this option is used>, thereof by sending a copy of the correspondence.]

   **Article 30 Financial guarantee**

   30.1 For

   **Article 40 Settlement of disputes**

   For indirect management:

   [General budget of the Union:

   [40.4 Any disputes arising out of or relating to this contract which cannot be settled otherwise shall be referred to the exclusive jurisdiction of <specify> applying the national legislation of the contracting authority.]

   OR

   [40.4 Any disputes arising out of or relating to this contract which cannot be settled otherwise shall be referred for arbitration to <specify the arbitration body> applying the rules of arbitration of [ the International Chamber of Commerce] [the United Nations Commission on International Trade Law] [< other internationally recognised procedure to be specified >].]]

   [EDF:

   40.4 Any dispute arising out of or relating to this contract which cannot be settled otherwise shall be settled

   (a) if it is a national contract [in accordance with the national legislation of the state of the contracting authority]

   (b) if it is a cross-border contract [either:

   (i) if the parties to the contract so agree, in accordance with the national legislation of the partner country or its established international practices; or

   (ii) by arbitration in accordance with the Procedural rules on conciliation and arbitration of contracts financed by the European Development Fund, adopted by Decision 3/90 of the ACP-EEC Council of Ministers of 29 March 1990 (OJ L 382, 31.12.1990) (Annex A12 to the practical guide).] Please attach Annex A12 of the practical guide to the present contract.]

   [Optional for contract awarded after negotiated procedure to an international organisation that cannot participate in competitive procedures according to its statute or act of establishment:

   **Article 40 Settlement of disputes and Article 41 Applicable law**

   Articles 40.3, 40.4 and 41.1 of the general conditions shall be replaced by the following:

   In default of amicable settlement, the parties may refer the matter to arbitration in accordance with the Permanent Court of Arbitration Optional Rules for Arbitration Involving International Organisations and States in force at the date of conclusion of this agreement. The appointing authority shall be the Secretary General of the Permanent Court of Arbitration following a written request submitted by either party. The arbitrator’s decision shall be binding on all parties and there shall be no appeal.]

   **Article 42 Data protection**

   [1. Processing of personal data related to the implementation of the contract by the contracting authority takes place in accordance with the national legislation of the state of the contracting authority and with the provisions of the respective financing agreement.

   2. To the extent that the contract covers an action financed by the European Union, the Contracting Authority may share communications related to the implementation of the contract, with the European Commission. These exchanges shall be made to the Commission, solely for the purpose of allowing the latter to exercise its rights and obligations under the applicable legislative framework and under the financing agreement with the Partner country – contracting authority. The exchanges may involve transfers of personal data (such as names, contact details, signatures, and CVs) of natural persons involved in the implementation of the contract (such as contractors, staff, experts, trainees, subcontractors, insurers, guarantors, auditors and legal counsel). In cases where the contractor is processing personal data in the context of the implementation of the contract, he/she shall accordingly inform the data subjects of the possible transmission of their data to the Commission. When personal data is transmitted to the Commission, the latter processes them in accordance with Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC and as detailed in the specific privacy statement published at ePRAG.] [↑](#endnote-ref-1)
3. [↑](#endnote-ref-2)
4. **Terms of Reference : Consultant to Support IGAD Climate Prediction and Application Centre in Establishing an IGAD Emergency Grain Reserve**

   Background:

   The Horn of Africa region, known for its diverse landscapes and rich cultural heritage, faces numerous challenges, both natural and man-made. These challenges include natural disasters such as droughts and floods, which are intensified by climate change and have devastating effects on communities, particularly vulnerable groups like pastoralists and subsistence farmers who rely on weather-dependent resources. Concurrently, persistent violent conflicts exacerbate the situation, displacing communities and leaving deep scars, both psychological and socioeconomic, while economic instability further compounds the issues.

   Unfortunately, these vulnerable communities lack adequate social protection mechanisms, leaving them exposed to various shocks that result in economic losses, food insecurity, and sometimes displacement. Such challenges strain traditional coping strategies, deplete resources, and overwhelm public sector resources as well as donor assistance. Addressing these multifaceted challenges requires a comprehensive approach, which includes resilient infrastructure, sustainable agriculture, conflict resolution, and climate adaptation. It is crucial to empower affected communities in decision-making processes in order to build resilience. Collaboration among governments, international organizations, local communities, and stakeholders is essential to unlock the region's potential for growth and stability.

   One promising solution for mitigating the impact of climatic extremes and disruptions on food availability is the establishment of food reserves, particularly grain stockpiles. These reserves stabilize food prices, ensure a consistent supply, and provide incentives for food producers. Therefore, the IGAD Climate Prediction and Application Centre (ICPAC) has been exploring the feasibility of establishing a food reserve for the region. The goal is to enhance resilience and food security for vulnerable populations through coordinated efforts.

   Hence, ICPAC is seeking a consultant to assess the financial and logistical requirements for establishing the IGAD Emergency Grain Reserve. The consultant will analyze potential funding sources, evaluate existing grain reserves in Ethiopia, Kenya, and Somalia, and provide recommendations for financing and management of the regional reserve.

   **Objectives:**

   * Assess the financial and logistical requirements for establishing the IGAD Emergency Grain Reserve.  
     Analyze potential funding sources for the establishment and maintenance of the reserve.  
     Evaluate existing grain reserves in Ethiopia, Kenya, and Somalia to determine their adequacy and potential contributions to the regional reserve.
   * Investigate the regulatory and policy framework necessary for the establishment and operation of the IGAD Emergency Grain Reserve, including trade agreements, customs procedures, and legal considerations.
   * Assess the technical feasibility of establishing storage facilities for the IGAD Emergency Grain Reserve, including location selection, capacity requirements, and infrastructure needs.
   * Examine potential partnerships and collaborations with international organizations, governmental agencies, and private sector entities to support the establishment and maintenance of the IGAD Emergency Grain Reserve.
   * Evaluate the socio-economic impact of the IGAD Emergency Grain Reserve on local communities, including potential benefits such as food security, employment opportunities, and market stability.
   * Develop a comprehensive risk management strategy for the IGAD Emergency Grain Reserve, including measures to mitigate risks such as theft, spoilage, and natural disasters.  
     Conduct a cost-benefit analysis to assess the economic viability of the IGAD Emergency Grain Reserve and its potential return on investment in terms of mitigating food crises and promoting regional stability.
   * Provide comprehensive recommendations on financing mechanisms and management structures for the IGAD Emergency Grain Reserve.

   **Scope of Work:**

   * Conduct consultations with relevant stakeholders, such as government agencies, international organizations, donors, and local communities. The consultant will visit three focus countries: Ethiopia, Kenya, and Somalia.
   * Assess the financial requirements for establishing and maintaining the IGAD Emergency Grain Reserve, including infrastructure, storage facilities, transportation, and operational costs.
   * Identify potential funding sources, such as government budgets, international grants, loans, public-private partnerships, and innovative financing mechanisms.
   * Evaluate existing grain reserves in Ethiopia, Kenya, and Somalia, focusing on their capacity, geographical distribution, accessibility, and management systems.
   * Analyze the legal, institutional, and regulatory frameworks relevant to the establishment and management of the regional grain reserve.
   * Develop comprehensive recommendations for financing mechanisms, governance structures, operational frameworks, and management arrangements for the IGAD Emergency Grain Reserve.
   * Prepare a detailed report that outlines the findings, recommendations, and action plan for establishing and operationalizing the regional reserve.

   **Deliverables:**

   **Inception Report:** Furnish a comprehensive outline of the proposed methodology, work plan, and timeline for carrying out the assessment.

   **Draft Report:** Present initial findings, encompassing financial analysis, funding alternatives, evaluation of current reserves, and preliminary recommendations.

   **Final Report:** Provide an all-inclusive report illustrating the assessment findings, recommendations, financing mechanisms, management structures, and implementation plan for the IGAD Emergency Grain Reserve.

   **Presentation:** Conduct a presentation to pertinent stakeholders, summarizing the pivotal findings and recommendations highlighted in the report.

   **Qualifications**

   * Advanced degree in agriculture, economics, development studies, or related fields.
   * Extensive experience in food security, emergency response, and agricultural economics within the IGAD region.
   * Proven expertise in conducting assessments, financial analysis, and providing policy recommendations.
   * Strong understanding of international funding mechanisms, donor relations, and public-private partnerships.
   * Excellent communication skills and ability to engage with diverse stakeholders.
   * Prior experience working with regional organizations, government agencies, and international donors is desirable.

   **Reporting**

   The consultant will report directly to the Data Scientist of the IGAD Food Security, Nutrition, and Resilience Analysis Hub (IFRAH) or another designated representative. Regular progress updates and consultations will be conducted throughout the consultancy period.

   **Evaluation Criteria**

   Proposals will be assessed according to the consultant's educational background (30%), experience (40%), methodology (10%), and proposed budget (20%).

   **Confidentiality**

   The consultant must treat all information obtained during the assignment as confidential and cannot disclose it to any third party without prior written consent from ICPAC.  
     
   **Amendment**

   ICPAC reserves the right to amend or modify these ToRs at any time during the consultancy period with mutual agreement between the parties.

   **Contractual Arrangements**

   Upon selection, the consultant will enter into a contractual agreement with ICPAC that outlines the terms and conditions of the consultancy. [↑](#endnote-ref-3)
5. [↑](#endnote-ref-4)
6. [↑](#endnote-ref-5)
7. Evidence provided shall be compliant with the description given in the request to participate form as concerns the selection criteria for technical capacity as specified in the contract notice [↑](#footnote-ref-2)